In the Claims

Amendments to the Claims:

1. (canceled)

2. (currently amended) The method of claim [1] 5, wherein the planarized

cladding portion has a thickness of between about 0 and 200 nm above the

upper surface of the waveguide core portion.

3. (currently amended) The method of claim [1] 5, wherein the cladding portion

has a first index of refraction; the waveguide core portion has a second index of

refraction; and the waveguide core portion second index of refraction is greater

than the cladding portion first index of refraction.

4. (currently amended) The method of claim [1] 5, wherein the planarization is a

chemical mechanical polishing process.

5. (currently amended) The method of claim 1[,] A method of forming a

substantially planar surface of an optical waveguide device, comprising the

steps:

forming at least one waveguide core portion within at least one cladding

portion; the waveguide core portion having an upper surface; the cladding

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portion having a higher portion over at least the waveguide core portion and a lower portion;

forming a patterned sacrificial portion over the lower cladding portion and a portion of the higher cladding portion, leaving a second portion of the higher cladding portion exposed to form an exposed portion of the higher cladding portion;

removing at least a portion of the exposed second portion of the higher cladding portion by a selective removal process selective to the patterned sacrificial portion leaving a remnant of the exposed second portion of the higher cladding portion; and

planarizing:

a) the remnant of the exposed second portion of the higher cladding portion over the waveguide core portion; and

b) the lower cladding portion

to form a planarized cladding portion coplanar with the upper surface of the waveguide core portion, wherein the waveguide core portion comprises at least one waveguide core embedding within at least another waveguide core.

6. (currently amended) The method of claim [1] 5, wherein the patterned sacrificial portion is comprised of:

photoresist: or

photoresist stacked upon a film comprised of: silicon nitride, silicon oxynitride organic silicate glass, diamond like carbon, silicon dioxide, polyimide, PMMA, tantalum, tungsten or molybdenum.

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7. (currently amended) The method of claim [1] 5, wherein the cladding portion

is comprised of silicon nitride, organic silicate glass, silicon dioxide, polyimide or

PMMA.

8. (currently amended) The method of claim [1] 5, wherein the selective removal

process selective to the patterned sacrificial portion is a dry and/or wet etching

process.

9. (currently amended) The method of claim [1] 5, wherein the patterned

sacrificial portion is removed before the planarization.

10. (currently amended) The method of claim [1] 5, wherein the sacrificial portion

is photoresist and the patterned sacrificial photoresist portion is removed before

the planarization by a stripping process.

11. (currently amended) The method of claim [1] 5, wherein the waveguide core

portion is formed using a first mask; and the patterned sacrificial portion is

patterned from a sacrificial layer using a second mask that is the reverse of the

first mask.

12. (currently amended) The method of claim [1] 5, wherein the planarization

also removes any remaining patterned sacrificial portion.

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13. (currently amended) The method of claim [1] 5, wherein waveguide core

portion is formed using a first mask; and not all the sacrificial portion area is

needed to be patterned using a second mask that is the reverse of the first mask.

14. (currently amended) The method of claim [1] 5, wherein the patterned

sacrificial portion is also removed during the planarization.

15. (currently amended) The method of claim [1] 5, wherein the planarization

includes a fine planarization process.

16. (currently amended) The method of claim [1] 5, wherein the planarization of

the remnant of the exposed second portion of the higher cladding portion over

the waveguide core portion and the lower cladding portion does not expose the

upper surface of the waveguide core portion.

Claims 17 to 57 (canceled)

Claims 58 to 74 (canceled)

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